

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|-----------------|---------------------------------------|----------------------|---------------------|------------------|--|
| 09/134,419 | 08/14/1998 | DOUGLAS T. ROSS | 23138-S | · 7968 | |
| | 7590 10/31/2007 HARMACEUTICALS C/O | | EXAM | INER | |
| FOLEY & LAI | RDNER LLP | LEWIS, PATRICK T | | | |
| 3000 K STREE | ET, NW N, DC 20007-5143 | ART UNIT | PAPER NUMBER | | |
| W/1011111GTO | 11, 20 20007 51 15 | | 1623 | | |
| | | | | | |
| | | | MAIL DATE | DELIVERY MODE | |
| | | | 10/31/2007 | PAPER | |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | Application No. | Applicant(s) | | |
|---|--------------------------------|-----------------------------------|----------|--|
| Posnonco to Pulo 242 Communication | 09/134,419 | ROSS ET AL. | | |
| Response to Rule 312 Communication | Examiner | Art Unit | | |
| | Patrick T. Lewis | 1623 | | |
| The MAILING DATE of this communication | appears on the cover sheet | with the correspondence addres | :s – | |
| | | · | | |
| | | | | |
| ☑ The amendment filed on <u>25 October 2007</u> under 37 Cl | FR 1.312 has been considered | , and has been: | | |
| a) entered. | | | | |
| b) 🛛 entered as directed to matters of form not affecti | ng the scope of the invention. | | | |
| c) disapproved because the amendment was filed a | after the payment of the issue | ee. | | |
| Any amendment filed after the date the issue | | ed by a petition under 37 CFR 1.3 | 13(c)(1) | |
| and the required fee to withdraw the application | on from issue. | | | |
| d) disapproved. See explanation below. | | | | |
| e) entered in part. See explanation below. | | | | |
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Dr. Patrick T. Lewis Primary Examiner Art Unit: 1623 **#FOLEY**

FOLEY & LARDNER LLP ATTORNEYS AT LAW

WASHINGTON HARBOUR 3000 K STREET NW, SUITE 500 WASHINGTON, D.C. 20007-5143 TELEPHONE: 202.672.5300 FACSIMILE: 202,672,5399 WWW.FOLEY.COM

FACSIMILE TRANSMISSION

ORIGINAL FAX TRANSMISSION WILL BE SENT BY U.S. MAIL

Total # of Pages: 16 (including this page)

| 272-0655 | (571) 273-0655 |
|----------|----------------|
| | 272-0655 |

From: Rouget F. Henschel

Date: October 25, 2007

Client/Matter No: 087579-0857

User ID No: 3740

MESSAGE:

Re: U.S. Patent Application No. 09/134,419

Dear Examiner Lewis:

We have filed the attached in response to the two Notices to File Corrected Application Papers dated July 24, 2007. Please contact me if you have any questions at (202) 295-4059.

> If there are any problems with this transmission or if you have not received all of the pages, please call.

| Operator: | Time Sent: | Return Original To: |
|-----------|------------|----------------------|
| OP | | Kevin Mallett's desk |

CONFIDENTIALITY NOTICE: THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL, USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR ANY AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

Cover Page 1 of 1

FOLEY & LARDNER LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Title:

CARBOXYLIC ACIDS AND

ISOSTERES OF N-HETEROCYCLIC

COMPOUNDS FOR VISION AND MEMORY DISORDERS

Appl. No.:

09/134,419

Filing Date:

8/14/1998

Examiner:

Patrick T. LEWIS

Art Unit:

1623

Confirmation

7968

Number:

AMENDMENT TRANSMITTAL

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment and Transmittal of Replacement Pages and Additional Formal Drawings, in the above-identified application.

| [| 1 | Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by | | | | | |
|---|---|--|--|--|--|--|--|
| | | previous assertion of Small Entity status. | | | | | |

[] Assertion of Small Entity status is enclosed.

[] The fee required for additional claims is calculated below:

| | Claims As Amended | | Previously Paid For | | Extra Claims Present | _ | Rate | | Additional Claims Fee \$0.00 |
|--------------------|-------------------------|------|------------------------|-------|----------------------------|------|----------|---|------------------------------------|
| Total Claims: | 3 | - | 26 | = | 0 | x | \$50.00 | = | \$0.00 |
| Independent | 1 | - | 3 | = | 0 | x | \$210.00 | = | \$0.00 |
| Claims: First p | resentation | of a | ny Multiple I | Deper | ndent Claims: | + | \$370.00 | = | \$0.00 |
| | | | | | CLAIMS | S FE | E TOTAL | = | \$0.00 |

[] Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

| C1 1 within the five | t month: \$120.00 | \$0.00 |
|--|-------------------------------------|------------------------|
| [] Extension for response filed within the firs | and month: \$460.00 | \$0.00 |
| Extension for response filed within the sec | Old Hours | \$0.00 |
| Extension for response filed within the thin | rd month: \$1,050.00 | |
| Extension for response filed within the fou | orth month: \$1,640.00 | <u>\$0.00</u> |
| Extension for response fired within the fifth | th month: \$2,230.00 | \$ 0. <u>00</u> |
| [] Extension for response filed within the fift | EXTENSION FEE TOTAL: | \$0.00 |
| - 1 27 CER | ¢120.00 | \$0.00 |
| [] Statutory Disclaimer Fee under 37 C.F.R. | SION AND DISCLAIMER FEE TOTAL: | |
| CLARVIS, EXTENSION | Fees Apply (subtract 1/2 of above): | \$0.00 |
| [] Small Entity I | Extension Fees Previously Paid: | \$0.00 |
| | TOTAL FEE: | \$0.00 |
| | | |

If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 25- OCT- 2007

FOLEY & LARDNER LLP Customer Number: 22428

Telephone:

(202) 295-4059

Facsimile:

(202) 672-5399

Ву

Rouget F. Henschel Attorney for Applicant Registration No. 39,221



United States Patent and Trademark Office

Commissioner for Palents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo gov

Application No. : 09/134,419

Filing Date: 8/14/98

Applicant: Ross et al.

Date Mailed: 7/24/07

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Notice of Allowance Mailed

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 30 days from the mail date of this Notice within which to correct the informalities indicated below. If the informality pertains to the abstract, specification (including claims) or drawings, the informality must be corrected with an amendment in compliance with 37 CFR 1.121 (or, if the application is a reissue application, 37 CFR 1.173). Such an amendment may be filed after payment of the issue fee if limited to correction of informalities noted herein. See Waiver of 37 CFR1.312 for Documents Required by the Office of Patent Publication, 1280 Off. Gaz. Patent Office 918 (March 23, 2004). In addition, if the informality is not corrected until after payment of the issue fee, for purposes of 35 U.S.C. 154(b)(1)(iv), "all outstanding requirements" will be considered to have been satisfied when the informality has been corrected. A failure to reply will result in the application being ABANDONED. This period for reply is NOT extendable under 37 CFR 1.136(a).

Some of the information on pages 18, 38, 39 and 74 of the specification is illegible. Applicant must submit legible copies of the specification's pages 18, 38, 39 and 74.

A copy of this notice MUST be returned with the reply. Please address response to

"Mail Stop Issue Fee, Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450".

Office of Patent Publication Phone: 703-308-9250 ext. 122



United States Patent and Trademark Office

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

Application Number: 09/134,419

Applicant: Ross et al.

Filing Date: 8/14/98

Date Mailed: 7/24/07

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Notice of Allowance Mailed

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 60 days from the mail date of this Notice within which to correct the informalities indicated below. If the informality pertains to the abstract, specification (including claims) or drawings, the informality must be corrected with an amendment in compliance with 37 CFR 1.121 (or, if the application is a reissue application, 37 CFR 1.173). Such an amendment may be filed after payment of the issue fee if limited to correction of informalities noted herein. See Waiver of 37 CFR1.312 for Documents Required by the Office of Patent Publication, 1280 Off. Gaz. Patent Office 918 (March 23, 2004). In addition, if the informality is not corrected until after payment of the issue fee, for purposes of 35 U.S.C. 154(b)(1)(iv), "all outstanding requirements" will be considered to have been satisfied when the informality has been corrected. A failure to reply will result in the application being ABANDONED. This period for reply is NOT extendable under 37 CFR 1.136(a).

The illustrations on pages 65, 67 and 68 of the specification do not come within the exceptions of 37 CFR 1.58 (a). Please delete the illustrations from the specification, provide the illustrations as part of the formal drawings in accordance with 37 CFR 1.84, and amend the specification, as necessary, in accordance with 37 CFR 1.74.

A copy of this notice MUST be returned with the reply. Please address response to "Mail Stop Issue Fee,Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450".

Office of Patent Publication Phone: 703-308-9250 ext. 122

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Title:

CARBOXYLIC ACIDS AND ISOSTERES OF N-HETEROCYCLIC COMPOUNDS FOR VISION AND MEMORY DISORDERS

Appl. No.:

09/134,419

Filing Date:

08/14/1998

Examiner:

Patrick T. LEWIS

Art Unit:

1623

Confirmation

7968

Number:

AMENDMENT AND TRANSMITTAL OF REPLACEMENT PAGES AND ADDITIONAL FORMAL DRAWINGS

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants attach two Notices to File Corrected Application Papers, both mailed July 24, 2007.

Responsive to the first Notice to File Corrected Application Papers mailed July 24, 2007, Applicants submit the attached replacement pages 18, 38-39, and 74 of the specification.

Responsive to the second Notice to File Corrected Application Papers dated July 24, 2007, Applicants submit the formal drawings (3 sheets, showing Figs. 10-12), corresponding to Tables V, VI, and VII, and Applicants delete Tables V, VI, and VIII from the specification, as requested in the second Notice.

Amendments to the Specification begin on page 2 of this document.

Remarks/Arguments begin on page 3 of this document.

Please amend the application as follows:

Amendments to the Specification:

Please amend the specification as follows:

Please delete the illustration and all paragraphs on page 65, including the text "TABLE V".

Please delete the illustration and all paragraphs on page 67, including the text "TABLE VI".

Please delete the illustration and all paragraphs on page 68, including the text "TABLE, VII".

DETAILED DESCRIPTION OF THE INVENTION

Definitions

"Eye" refers to the anatomical structure responsible for vision in humans and other animals, and encompasses the following anatomical structures, without limitation: lens, vitreous body, ciliary body, posterior chamber, anterior chamber, pupil, cornea, iris, canal of Schlemm, zonules of Zinn, limbus, conjunctiva, choroid, retina, central vessels of the retina, optic nerve, fovea centralis, macula lutea, and sclera.

"GPI 1605" refers a compound of formula

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"GPI 1046" refers to 3-(3-pyridyl)-1-propyl (2s)-1- (3,3-dimethyl-1,2-dioxopentyl) -2- pyrrolidinecarboxylate, a compound of formula

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In a preferred embodiment of the compounds of formula II, the heterocyclic ester or amide is the compound GPI 1572, of the formula

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In a particularly preferred embodiment of formula 10 II compounds:

A is CH2;

B is CH2 or S;

C is CH2 or NH;

 R_1 is selected from the group consisting of 3-

phenylpropyl and 3-(3-pyridyl)propyl; and

 $\ensuremath{R_2}$ is selected from the group consisting of 1,1-dimethylpropyl, cyclohexyl, and tert-butyl.

Specific examples of this embodiment are presented in TABLE I.

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TABLE I

| No. | Α | В | С | R ₁ | R ₂ |
|-----|-----------------|-----------------|-----------------|---------------------|--------------------|
| 1 | CH ₂ | 5 | CH ₂ | 3-phenylpropyl | 1,1-dimethylpropyl |
| 2 | CH ³ | S | CH₂ | 3-(3-pyridyl)propyl | 1,1-dimethylpropy1 |
| 3 | СН₂ | s | CHz | 3-phenylpropyl | cyclohexyl |
| 4 | CH2 | S | CH ₂ | 3-phenylpropyl | tert-butyl |
| 5 | СH ₂ | CH ₂ | NH | 3-phenylpropyl | 1,1-dimethylpropyl |
| 6 | CH ₂ | CH ₂ | NH | 3-phenylpropyl | cyclohexyl |
| 7 | CH₂ | CH3 | NH | 3-phenylpropy1 | tert-butyl |

FORMULA III

The heterocyclic ester or amide may also be a compound of formula III

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$$O \longrightarrow P_1$$
 $O \longrightarrow P_2$
 $O \longrightarrow P_1$

or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

A, B, C and D are independently CH_2 , O, S, SO, SO_2 , NH or NR_1 ;

 R_1 is C_1 - C_5 straight or branched chain alkyl or C_2 - C_5 straight or branched chain alkenyl, which is substituted with one or more substituent(s) independently selected from the group consisting of $(Ar_1)_n$ and C_1 - C_6 straight or branched chain alkyl or C_2 - C_6 straight or branched chain alkenyl substituted with $(Ar_1)_n$;

n is 1 or 2;

 R_2 is either C_1 - C_9 straight or branched chain alkyl, C_2 - C_9 straight or branched chain alkenyl, C_3 - C_8 cycloalkyl, C_5 - C_7 cycloalkenyl, or Ar_1 ; and

 Ar_1 is an alicyclic or aromatic, mono-, bi- or tricyclic, carbo- or heterocyclic ring, wherein the ring is either unsubstituted or substituted with one

74

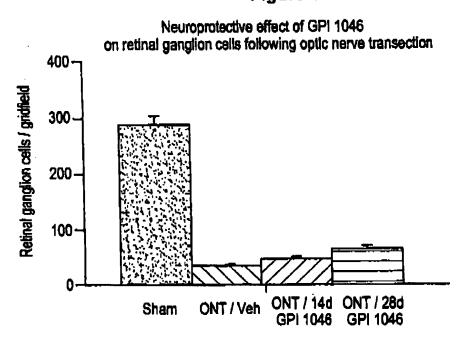
Efficacy of representative compounds from different immunophilin ligand series in protecting retinal ganglion cell axons from degeneration following optic nerve transection

| Compound | Structure | Comments | RT97+RGC axon density 14 days after ON transection (% ON axons rescued) |
|---------------|-----------|---|---|
| В | | Adamantyl Thioester of urea Ki rotamase = 149 nM Clearance=? µl/min | 100.0% ±5.2% SEM |
| A GPI 1046 | | Ester Ki rotamase = 7.5nM Clearance=63.8 µl/min | 60.5% ±3.9 SEM |
| С | | Sulfonamide Ki rotamase = 107nM Clearance= 31.1ul/min | 60.4% ±3.1 % SEM |
| D | | Pipecolic sulfonamide Ki rotamase= nM Clearance= µl/min | 58.4% ±6.4% SEM |
| E | *** | Ester of pipecolic acid Ki rotamase = 20 nM Clearance = 41.8 µl/min | 56.6% ±9.4% SEM |
| F | | Proline heterocycle Analog of GPI 1046 Ki rotamase = 272 nM Clearance=? µl/min | 55.1% ±5.9% SEM |

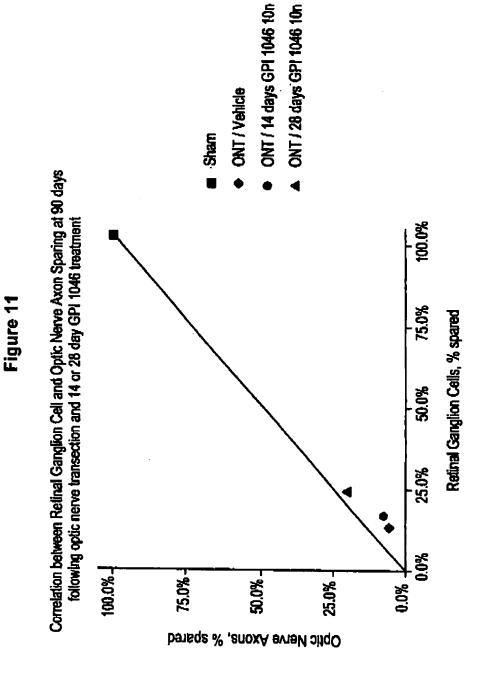
TABLE V

Appin. No. 09/134,419 NEW SHEET

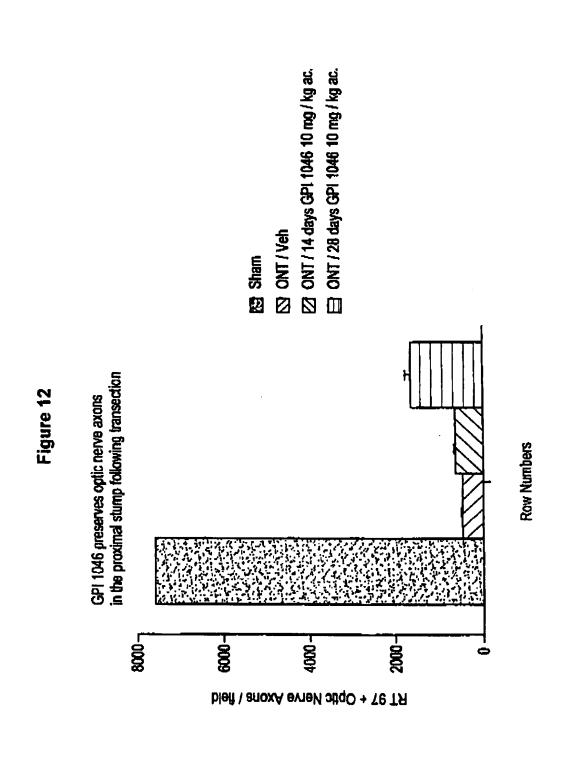
Figure 10



Appln. No. 09/134,419 NEW SHEET



Appln. No. 09/134,419 NEW SHEET



REMARKS

Entry of the foregoing amendment is respectfully requested.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check or credit card payment form being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, Applicant hereby petitions for such extension under 37 C.F.R. § 1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Respectfully submitted,

Date 25-0CT-2007

FOLEY & LARDNER LLP

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Rouget F. Henschel Attorney for Applicant Registration No. 39,221